

## NOTICE CUM ADDENDUM

**NOTICE** is hereby given that pursuant to the best practices guidelines circular no. 62/2015-16 dated September 18, 2015 issued by the Association of Mutual Funds in India (AMFI) on 'Uniform Implementation of KYC Requirements to overcome KYC Related Issues', the following changes as explained below will be included in the Statement of Additional Information ("**SAI**") of Baroda Pioneer Mutual Fund ("**the Fund**") with effect from **January 1, 2016** :

The following paragraphs are added before the last point in Section V (TAX, LEGAL & GENERAL INFORMATION) - B. (LEGAL INFORMATION) - B. (KYC REQUIREMENTS) :

### **Ultimate Beneficial Ownership ("**UBO**")**

- Existing non- individual Unitholders shall update/provide the beneficial ownership details in order to continue additional subscriptions (including switches) in the schemes of the Fund, failing which their applications/requests for additional subscription (including switches) shall be liable to be rejected.

### **Uniform implementation of KYC requirements**

- Existing Unitholders shall mandatorily provide additional KYC information (as prescribed in Part II of the Account Opening Form) such as Gross Annual Income details, Occupation, Politically Exposed Person (PEP), Net Worth, etc. for additional subscriptions (including switches) in the schemes of the Fund.
- Existing Unitholders shall provide the missing KYC information and complete In-Person Verification (IPV) for additional subscriptions (including switches) in the schemes of the Fund.

The provisions mentioned above are applicable only to those Unitholders who have not fulfilled the said requirements. The AMC reserves the right to reject the purchase / switch in transactions if the missing KYC information is not provided or IPV is not completed. Further, the above requirements shall not apply to SIP, STP and Dividend Sweep mandates in the existing folios, if registered prior to January 01, 2016.

The AMC reserves the right to modify the above terms and conditions as may be required in future.

The Scheme Information Documents ("**SIDs**") and Key Information Memoranda ("**KIMs**") of the schemes of the Fund shall be amended wherever applicable to reflect the above changes. All other terms and conditions of the SAI / SIDs / KIMs shall remain unchanged.

This notice-cum-addendum forms an integral part of the SAI and/or SIDs and/or KIMs, as the case maybe, and shall always be read in conjunction with them.

**For Baroda Pioneer Asset Management Company Limited**

**Place : Mumbai**

**Date : December 30, 2015**

**sd/-**

**Authorised Signatory**

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

For further details, kindly contact:  
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BARODA PIONEER MUTUAL FUND

