

## NOTICE CUM ADDENDUM No.1/2016

**Notice cum addendum to the Scheme Information Documents (“SIDs”) of the open-ended schemes of Baroda Pioneer Mutual Fund (“Mutual Fund”) and the Statement of Additional Information (“SAI”) of the Mutual Fund.**

**NOTICE** is hereby given that pursuant to the SEBI Circular No. SEBI/HO/IMD/DF2/CIR/P/2016/57 dated May 31, 2016 pertaining to “Restriction on redemption in Mutual Funds”, the existing provisions in the SIDs in Section II. (Introduction) under C. (Special Consideration) in the paragraphs on Right to limit redemption and in Section IV (Units and Offer) - B (Ongoing Offer Details) - Restrictions, if any, on the right to freely retain or dispose of units being offered, and in the SAI in Section V. (Tax, Legal & General Information) under B. (Legal Information) in point G. (Suspension of Subscription and Redemption of Units), and wherever applicable, shall be substituted, to the extent as may be applicable, with the following effective July 1, 2016 :

### **Restriction on Repurchase/Redemption (including switch-out) facility under the Schemes:**

- In terms of SEBI circular SEBI/HO/IMD/DF2/CIR/P/2016/57 dated May 31, 2016, the repurchase/redemption (including switch-out) of units of the Schemes may be restricted under any of the following circumstances :
  - (i) **Liquidity issues** - When the market at large becomes illiquid affecting almost all securities rather than any issuer specific security;
  - (ii) **Market failures, exchange closures** - When markets are affected by unexpected events which impact the functioning of exchanges or the regular course of transactions. Such unexpected events could also be related to political, economic, military, monetary or other emergencies.
  - (iii) **Operational issues** - When exceptional circumstances are caused by force majeure, unpredictable operational problems and technical failures (e.g. a black out).
- Further, the aforesaid restriction may be imposed for a specified period of time not exceeding 10 working days in any 90 days period.
- Any imposition of the above restriction would be specifically approved by the Board of Directors of the AMC and Trustee and the same would be informed to SEBI immediately.
- When restriction on redemption is imposed, the following procedure shall be applied :
  - (i) No redemption requests upto INR 2 lakh shall be subject to such restriction.
  - (ii) Where redemption requests are above INR 2 lakh, the AMC shall redeem the first INR 2 lakh without such restriction and remaining part over and above INR 2 lakh shall be subject to such restriction.

All other terms and conditions of the SIDs and SAI under reference remain unchanged.

This notice-cum-addendum forms an integral part of the SIDs and SAI, as the case may be, and shall always be read in conjunction with them.

**For Baroda Pioneer Asset Management Company Limited**

**Place : Mumbai**  
**Date : July 1, 2016**

**Sd/-**  
**Authorised Signatory**

**Mutual Fund Investments are subject to market risks, read all scheme related documents carefully.**

For further details, kindly contact:  
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BARODA PIONEER MUTUAL FUND

